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PTO/SB/30 (10-01)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Address to:  
Commission for Patents  
Box RCE  
Washington, DC 20231

Application Number	09/910,904
Filing Date	July 24, 2001
First Named Inventor	YASUI
Art Unit	1765
Examiner Name	Umez Eronini, Lynette
Attorney Docket Number	ASAM.0012

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plan application filed prior to June 8, 1995, or to any design application. See instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

## 1. Submission required under 37 CFR 1.114

### a. ☒ Previously submitted

- i. ☐ Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on \_\_\_\_\_
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- iii. ☐ Other

### b. ☒ Enclosed

- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other

## 2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b. ☐ Other

## 3. Fees

The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

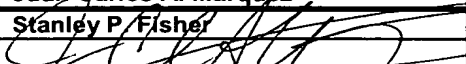
- a. ☒ The Director is hereby authorized to charge any additional fees, or credit any overpayments to Deposit Account No. 08-1480
  - i. ☐ RCE fee required under 37 CFR 1.17(e)
  - ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)
  - iii. ☐ Other

### b. ☒ check in the amount of \$770.00 enclosed

### c. ☐ Payment by credit card (Form PTO-2038 enclosed)

Warning: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Juan Carlos A. Marquez	Registration NO. (attorney/agent)	34,072
Name (Print/Type)	Stanley P. Fisher	Registration NO. (attorney/agent)	24,344
Signature		Date	January 20, 2004

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the United States and Trademark Office on the date shown below.

Name (Print/Type)		Date	
Signature			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Fees and Completed Forms to the following address: Assistant Commissioner for Patents, Box RCE, Washington, DC 20231.

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re U.S. Patent Application of

YASUI *et al.*

Application Number: 09/910,904

Filed: July 24, 2001

For: PLANARIZING METHOD OF SEMICONDUCTOR  
WAFER AND APPARATUS THEREOF

Attorney Docket No. ASAM.0012



Art Unit: 1765

Examiner:

Umez Eronini, Lynette

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TC 1700

Honorable Assistant Commissioner  
for Patents  
Washington, D.C. 20231

**COVER LETTER**

Sir:

[ x ] The fee for submission of claims is calculated as shown below:

FOR	TOTAL WITH NEW CLAIMS ADDED	TOTAL CURRENTLY ON FILE	CLAIMS PAID	RATE	CALCULATION
Total Claims	22	22	2 (Over 20)	x \$18	.0
Independent Claims	5	5	2 (Over 3)	x \$86	0
MULTIPLE DEPENDENT CLAIM(S)				+ \$290	0
REDUCTION FOR FILING BY SMALL ENTITY (note 37 C.F.R. §§ 1.9, 1.27, 1.28). IF APPLICABLE, VERIFIED STATEMENT MUST BE ATTACHED				x ½	
				TOTAL	0.00

In addition, the below-identified communications are submitted in the above-captioned application or proceeding:

[ x ] Response to Office Action  
with claim amendments

[ ] Preliminary Amendment

[ ] Substitute Specification

[ ] Other \_\_\_\_\_

[ ] Petition for Extension of Time

[ x ] RCE Application

[ ] Letter to Draftsperson w/ pages of drawings

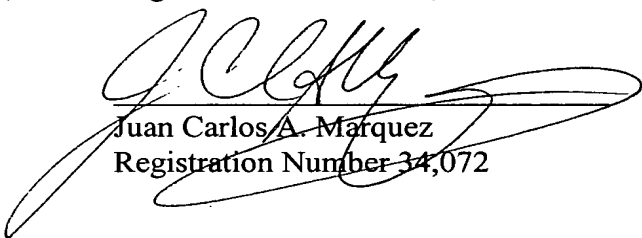
[ ] Assignment

[ ] Petition under \_\_\_\_\_

- [ ] Please charge my **Deposit Account Number** \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the fees for \_\_\_\_\_. A duplicate copy of this paper is enclosed.
- [x] A check in the amount of **\$770.00** to cover the RCE fee is enclosed.
- [x] The Commissioner is hereby authorized to charge any additional fees associated with this communication, including patent application filing fees and processing fees under 37 C.F.R. § 1.16 and 1.17, or credit any overpayment to **Deposit Account Number 08-1480**.

Respectfully submitted,

\_\_\_\_\_  
Stanley P. Fisher  
Registration Number 24,344

  
Juan Carlos A. Marquez  
Registration Number 34,072

**REED SMITH LLP**  
3110 Fairview Park Drive, Suite 1400  
Falls Church, Virginia 22042  
(703) 641-4200  
**January 20, 2004**



**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re U.S. Patent Application of )

YASUI *et al.* )

Application Number: 09/910,904 )

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Art Unit 1765

Examiner

Umez Eronini, Lynette

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TC 1700

Honorable Assistant Commissioner for Patents

Washington, D.C. 20231

**RESPONSE AND AMENDMENT UNDER 37 C.F.R. § 1.111**

Sir:

This is in response to the Office Action mailed on October 17, 2003, the period of response to which is set to expire on January 17, 2004. Please amend the above-referenced application as follows: